# Code of Conduct People Policy





## **POLICY SUMMARY**

This policy sets out a Code of Conduct for HIE employees and outlines the nine general principles of conduct within the Ethical Standards in Public Life etc. (Scotland) Act 2000 which underpin public life in Scotland.

All employees are required to abide by the standards set out within this policy.

### **Contents**

- 1. Scope
- 2. Principles
- 3. Duties and responsibilities
- 4. Accountability
- 5. Conflicts of interest
- 6. Integrity
- 7. Relations with public
- 8. Use of resources
- 9. Official information
- 10. Concerns about improper conduct
- 11. After leaving employment
- 12. Related policies
- 13. Monitoring and review

# 1. Scope

This policy sets out a Code of Conduct for all HIE employees. All employees are required to follow the principles within this policy during their employment with HIE and should observe the duty of confidentiality after they have left employment with HIE.

## 2. Principles

The Ethical Standards in Public Life etc. (Scotland) Act 2000 sets out nine general principles of conduct which underpin public life in Scotland. This Code of Conduct is based upon the following general principles:

- Public Service: You have a duty to act in the interests of HIE and in accordance with its
  core tasks.
- Selflessness: You have a duty to take decisions solely in terms of public interest. You
  must not act in order to gain financial or other material benefit for yourself, family or
  friends.
- Integrity: You must not place yourself under any financial, or other, obligation to any individual or organisation that might reasonably be thought to influence you in the performance of your duties.
- Objectivity: You must make decisions solely on merit when carrying out public business.
- Accountability and stewardship: You are accountable for your decisions and actions to
  the public. You have a duty to consider issues on their merits, taking account of the views
  of others and must ensure that HIE uses its resources prudently and in accordance with
  the law.
- Openness: You have a duty to be as open as possible about your decisions and actions, giving reasons for your decisions and restricting information only when the wider public interest clearly demands.
- Honesty: You have a duty to act honestly. You must declare any private interests relating
  to your public duties and take steps to resolve any conflicts arising in a way that protects
  the public interest.
- **Leadership:** You have a duty to promote and support these principles by leadership and example, to maintain and strengthen the public's trust and confidence in the integrity of HIE in conducting public business.
- **Respect:** You must respect fellow employees of HIE and the role they play, treating them with courtesy at all times.

# 3. Duties and responsibilities

HIE employees should familiarise themselves with the contents of the Code and should act in accordance with the principles it sets out.

Employees have a duty:

- to discharge public functions reasonably and according to the law;
- to recognise ethical standards governing their organisation; and
- to ensure that in carrying out their day to day work they do nothing which could reasonably be construed as politically motivated

The Chief Executive of HIE, as Accountable Officer, has overall responsibility for propriety, including conduct and discipline. The full role of the Chief Executive and Accountable Officer is set out in the <a href="Framework Document">Framework Document</a> which governs the relationship between HIE and the Scottish Government.

## 4. Accountability

Employees should be aware:

- of their accountability to the Chief Executive and Board of HIE;
- of the respective roles of The Scottish Government's Economic Development Directorate as sponsor body and of HIE as set out in the current Operating Plan and Framework Document and
- that the Minister responsible for HIE is ultimately accountable to the Scottish Parliament for its independence, effectiveness and efficiency.

The HIE Board has responsibilities, which are set out in the current Framework Document and in the notes of guidance for Board Members.

Employees should conduct themselves with integrity, impartiality and honesty. They should not deceive or knowingly mislead the Board, the Scottish Government, Ministers, the Scottish Parliament or the public. It is vital that employees exercise care in their day-to-day corporate activities to ensure that they do nothing that could reasonably be regarded as taking a political stance.

#### 5. Conflicts of interest

As outlined in the Conflicts of Interest Policy, employees should abide by the rules adopted by HIE in relation to private interests and possible conflict with public duty; the disclosure of official information; and political activities. They should not misuse their official position or information acquired in their official duties to further their private interests or those of others. When working on contracts employees should ensure that any possible conflicts of interest are identified at an early stage and that appropriate action is taken to resolve them.

## 6. Integrity

Employees should not use their official position to receive, agree to accept or attempt to obtain any payment or other consideration for doing, or not doing, anything or showing favour, or disfavour, to any person. They should not receive benefits of any kind from a third party which might reasonably

be seen to compromise their personal judgement and integrity. Under the Bribery Act, employees of public bodies may be required to prove that the receipt of payment or other consideration from someone seeking to obtain a contract is not corrupt.

# 7. Relations with the public

HIE is a customer focused organisation and employees should deal with the public sympathetically, efficiently, promptly and without bias or maladministration. The public should be offered the highest standards of conduct and service in line with HIE's customer service commitments.

#### 8. Use of resources

HIE employees should endeavour to ensure propriety and regularity in relation to public finances and to seek best value from resources.

### 9. Official Information

It is a condition of employment with HIE that employees do not use, divulge or disclose to any persons, firm or organisation, (except as required by law or your employment) any Confidential Information. Employees are therefore required to protect official information held in confidence. Nothing in this Code should be taken as overriding existing statutory or common law obligations to keep confidential, or in appropriate cases to disclose certain information.

Employees should familiarize themselves with the Information Security Policy in relation to appropriate transfer and sharing of information.

## 10. Staff concerns about improper conduct

If an employee believes that they are being required to act in a way which:

- is illegal, improper, or unethical; or
- is in breach of a professional code; or
- may involve possible maladministration, fraud or misuse of public funds; or
- is otherwise inconsistent with this Code;

they should either raise the matter with their line manager, Director, or approach in confidence to, Director of Corporate Services, who is entrusted with the duty of investigating staff concerns about illegal, improper or unethical behaviour in line with the relevant policy.

Detailed procedures relating to such matters are contained in HIE's Whistleblowing Policy which is intended to encourage and enable an employee to raise serious concerns within HIE rather than overlooking a problem or 'blowing the whistle' outside.

# 11. After leaving employment

An employee leaving HIE should continue to observe their duty of confidentiality (see section 9) after they have left the employment of the public body.

# 12. Related policies

To assist and support employees HIE have in place the following policies and information. Some of the responsibilities set out in the Code are more fully covered in other HIE policy documents. This policy should be read in conjunction with other relevant <u>HIE policies and procedures</u>, including, but not limited to:

- Conflicts of Interest Policy and Prior Approval Process
- Freedom of Information Guidance
- Fraud Policy
- Whistleblowing Policy
- Equality, Diversity and Inclusion Policy
- Dignity at Work Policy
- Information Security Policy
- Social Media Policy
- Customer Service Commitments
- Appointment to Third Party Organisations
- Gifts and Hospitality
- Guidance on Political Activity
- Delegated Levels of Authority

## 13. Monitoring and review

This policy is subject to joint monitoring and shall be reviewed by HR and the PCS union every two years or sooner as required by business needs or legislative changes.

Policy Owner:	Human Resources	Last reviewed:	January 2025
Review in:	2 years		